TDC Even Semester Exam., 2019

COMMERCE

(Honours)

(2nd Semester)

Course No. : BCMH–202

(Financial Market Operation—II)

Full Marks : 50

Pass Marks : 17

Time : 2 hours

The figures in the margin indicate full marks for the questions

Answer **one** question from each Unit

UNIT—I

1. What is listing of securities at stock exchange? Discuss the provisions in the Securities Contracts (Regulation) Act, 1956 in relation to listing of securities to stock exchange. 3+7=10

2. Highlight the composition of the Management Board of SEBI. Discuss the functions of SEBI. 3+7=10

UNIT—II

3. What is investors' protection in securities market? Discuss the usual grievances of investors against companies and brokers in India. 3+7=10

4. Discuss, in detail, the role played by SEBI and Company Law Board in removal of the investors' grievances in India. 10

UNIT—III

5. Who is stockbroker? Highlight the eligibility criteria for stockbroker. Discuss the role of stockbroker in stock market. 2+3+5=10

6. Write short notes on any *two* of the following : 5×2=10

(a) Jobbers

(b) Portfolio Consultants

(c) Institutional Investors

UNIT—IV

7. What is merchant banking? Discuss the functions of a merchant bank. 3+7=10

8. Discuss the importance of credit rating services. Discuss the important functions of the credit rating agencies. 5+5=10

UNIT—V

9. Discuss FDI policy in relation to agriculture, defence and railway infrastructure in India. 10

10. Write a detailed note on any *one* of the following : 10

(a) Foreign Exchange Policy

(b) Capital Market Management

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